

# ALABAMA WASTEWATER SYSTEMS, INC

## REGULATION AND SCHEDULE OF CHARGES GOVERNING THE PROVISION OF WASTEWATER UTILITY SERVICE TO RESIDENCES AND BUSINESSES WITHIN THE STATE OF ALABAMA

(T)  
This tariff contains the descriptions, regulation and rates applicable to the furnishing of wastewater utility service provided by Alabama Wastewater Systems, Inc within the State of Alabama. This tariff is on file with the **Alabama Public Service Commission**. Copies may be inspected during normal business hours at the Company's principal place of business at 851 Aviation Parkway, Smyrna, TN

## TABLE OF CONTENTS

SECTION 1 .....	1
<b>CHECK SHEET</b> .....	<b>1</b>
<b>SYMBOLS</b> .....	<b>2</b>
<b>TARIFF FORMAT</b> .....	<b>3</b>
<b>DEFINITIONS</b> .....	<b>4</b>
SECTION 2 .....	6
<b>RULES AND REGULATIONS</b> .....	<b>6</b>
Statement of Purpose .....	6
Authorization of Rules and Regulations .....	6
Effect of Rules and Regulations.....	6
Utility Facilities on Private Property.....	7
Discontinuance of Service.....	7
Non-payment penalties.....	7
Returned Checks .....	7
Changes in Ownership, Tenancy of Service .....	8
Sewer System Capacity Reservation Fee .....	8
Engineering, Materials and Construction Standards .....	8
Special Pretreatment Sewage Requirements .....	9
Damages.....	9
Inspection.....	9
In Event of Emergency.....	10
Approved Systems .....	10
Extension Plan.....	10
Contributions in Aid of Construction.....	10
Contracts for Service.....	10
Customer Billing Forms.....	10
Public Contact.....	10
ADPH Regulations.....	10
SECTION 3 .....	12
<b>APPROVED SEWER SYSTEMS</b> .....	<b>12</b>
SECTION 4 .....	13
<b>RESIDENTIAL RATES SHEET</b> .....	<b>13</b>
SECTION 5 .....	14
<b>COMMERCIAL RATES SHEET</b> .....	<b>14-14</b>
<b>OVERNIGHT RENTAL UNITS</b> .....	<b>15(D)</b>
<b>RECREATIONAL VEHICLE UNITS</b> .....	<b>16(D)</b>

WASTEWATER UTILITY SERVICE**SECTION 1****CHECK SHEET**

Sheets of this tariff are effective as of the date shown at the bottom of the respective sheet(s). Original and revised sheets as named below comprise all changes from the original tariff and are currently in effect as of the date on the bottom of this sheet.

<b>SECTION</b>	<b>SHEET</b>	<b>REVISION</b>	<b>SECTION</b>	<b>SHEET</b>	<b>REVISION</b>
1	1	Original	1	T	
1	2	Original			
1	3	Original			
1	4	Original			
1	5	Original	5	N T	
2	6	Original			
2	7	Original	7	I T	
2	8	Original	8	N T	
2	9	Original	9	N T	
2	10	Original			
3	11	Original	11	I N	
4	12	Original	12	I N D	
5	13	Original	13	C D	
5	14	Original	14	I N	
5	15	Original	15	D	
5	16	Original	16	D	

WASTEWATER UTILITY SERVICE

**SYMBOLS**

The following symbols are used for the purposes indicated below:

- C To signify changed regulation or rate structure.
- D To signify discontinued material.
- I To signify an increased rate.
- M To signify a move in the location of text.
- N To signify a new rate or regulation.
- R To signify a reduced rate.
- S To signify reissued material.
- T To signify a change in text but no change in rate or regulation.

## WASTEWATER UTILITY SERVICE

### TARIFF FORMAT

**A. Sheet numbering** – Sheet numbers appear in the upper right corner of the sheet. Sheets are numbered sequentially. However, new sheets are occasionally added to the tariff. When a new sheet is added between sheets already in effect, a decimal is added. For example, a new sheet added between sheets 12 and 13 would be 12.1.

**B. Sheet Revision Numbers** – Revision numbers also appear in the upper right corner of each sheet. These numbers are used to determine the most current sheet version on file with the PSC. For example, the 4<sup>th</sup> revised Sheet 12 cancels the 3<sup>rd</sup> revised Sheet 12. Because of various suspension periods, deferrals, etc., that the PSC follows in its tariff approval process, the most current sheet number on file with the PSC is not always the sheet in effect. Consult the Check Sheet for the sheet currently in effect.

**C. Paragraph Numbering Sequence** – There are nine levels of paragraph coding. Each level of coding is subservient to the next higher level:

- 2.
- 2.1
- 2.1.1
- 2.1.1.A
- 2.1.1.A.1
- 2.1.1.A.1(a)
- 2.1.1.A.1(a).1
- 2.1.1.A.1(a).1(i)
- 2.1.1.A.1(a).1(i).(1)

**D. Check Sheets** – When a tariff filing is made with the PSC, an updated Check Sheet accompanies the tariff filing. The Check Sheet lists the sheets contained in the tariff, with a cross-reference to the current revision number. When new sheets are added, the Check Sheet is changed to reflect the revision. All revisions made in a given filing are designated by an asterisk (\*). There will be no other symbols used on this sheet if these are the only changes made to it (i.e., the format, etc. remain the same, just revised revision levels on some sheets.) The tariff user should refer to the latest Check Sheet to find out if a particular sheet is the most current on file with the PSC.

## WASTEWATER UTILITY SERVICE

### DEFINITIONS

Certain terms used generally throughout this tariff for the Utility Services of this Company are defined below.

1. Company - The word Company shall mean Alabama Wastewater Systems, Inc.
2. Engineer - The word Engineer shall mean the consulting engineer of Alabama Wastewater Systems, Inc.
3. Customer - The word Customer shall mean any person, firm, corporation, association or government unit furnished sewage services by the Company.
4. Residential Property – The words Residential Property shall mean property that is an established residence for a single family that is intended solely for that family’s use.
5. Commercial Property – The words Commercial Property shall mean property that is used for commercial, overnight rental or institutional purposes.
6. Facilities- The word facilities shall mean all equipment owned and operated by the Company.
7. ADPH - The letters ADPH shall mean the Alabama Department of Public Health
8. PSC- The letters PSC shall mean the Alabama Public Service Commission.
9. Interceptor Pump Tank - The words Interceptor Pump Tank shall mean the interceptor tank located near a customer’s building which accepts waste and contains a pump vault.
10. Interceptor Gravity Tank - The words Interceptor Gravity Tank shall mean the interceptor tank located near a customer’s building which accepts waste and contains an effluent filter.
11. Service Line - The words Service Line shall mean the line from the Interceptor Pump/Interceptor Gravity Tank to a Collector Line.
12. Collector Line - The words Collector Line shall mean the line from the Service Line to the Main Line.
13. Main Line- The words Main Line shall mean the line from the Collector Line to the treatment facility.
14. Building Outfall Line - The words Building Outfall Line shall mean the customer owned line that carries waste from the building to the Interceptor Pump/Interceptor Gravity Tank.

WASTEWATER UTILITY SERVICE

- 15. Pumping Station - The words Pumping Station shall mean a tank that contains pumps and receives effluent from Interceptor Gravity Tanks and / or Collector Lines.
- 16. Premises – shall mean customer’s private property.
- 17. Service connection – shall mean the point at which the service line to the wastewater system components at the customer’s building is connected to the main wastewater collection system.
- 18. Property parcel – shall mean a recorded platted lot that does not have a building structure erected upon and has not been converted to the residential or commercial rate. (N T)
- 19. GPD – shall mean Gallons per Day. (N T)

WASTEWATER UTILITY SERVICE

**SECTION 2**

**RULES AND REGULATIONS**

Governing the sewage collection and treatment systems of Alabama Wastewater Systems, Inc.

**Statement of Purpose**

The general purpose of these rules and regulations is:

1. To establish procedures for furnishing sewage collection and treatment services on a uniform basis to customers within the Company's service area.
2. To provide standards and procedures for:
  - a. Acceptable sewage characteristics
  - b. Protection of the integrity of the water-tight system
  - c. Engineering design standards
  - d. Construction standards and inspection requirements
  - e. Quality of materials

**Authorization of Rules and Regulations**

Alabama Wastewater Systems, Inc. is a corporation organized and engaged in business as a public utility in the State of Alabama. The Company is regulated under a Certificate of Financial Viability as a Responsible Management Entity in accordance with and subject to the provisions of the Public Health Laws of Alabama and Chapter 420-3-1, Onsite Sewage Treatment and Disposal, issued by the Alabama Department of Public Health in cooperation with the Alabama Public Service Commission (PSC) on August 10, 2006, under ME No. 000008, and subsequent certificates issued by the ADPH/PSC.

**Effect of Rules and Regulations**

All provisions of these rules and regulations shall be incorporated in each contract with each sewage system customer of the Company.



## WASTEWATER UTILITY SERVICE

### Utility Facilities on Private Property

The Company shall maintain all Interceptor Pump and Interceptor Gravity tanks, control systems and service lines required to provide sewer service on the Customer's premises. The Customer must execute an agreement granting an easement to the Company for maintenance of the sewer system. The building plumbing and Building Outfall Line shall be maintained by the Customer.

### Discontinuance of Service

Service under any application may be discontinued for the following reasons:

1. Non-payment of bill as hereinafter set forth below
2. For misrepresentation in the application
3. For adding to the property or fixtures without notice to the Company
4. For tampering any service pipe, tank, control system, filter or any other facilities of the Company in any way whatsoever
5. For violation of any rules of the Company
6. For disconnecting or re-connecting service by any party, other than a duly authorized agent of the Company, without the consent of the Company.
7. In the case of an unoccupied property parcel(s), the Company may revoke the capacity to the sewer system and forfeiture of designated system capacity for any lot if payment delinquency of the capacity reservation fees reach an amount equal to (or exceeding) six (6) regularly scheduled monthly billings. (N T)

### Non-payment penalties

A non-payment penalty of twelve point five percent (12.5%) of the monthly charge will be due after the due date shown on the bill. If payment is not received within fifteen days after the due date, a certified letter will be sent to the customer. If payment is not received within 30 days, service will be turned off from the customer's property as per the Sewer Subscription Contract (Attachment #1) executed by the customer with no additional notice being sent. No service shall be reconnected if discontinued for non-payment (or any other valid reason) until all charges have been paid, including disconnection and reconnection fees. The disconnection fee is \$50.00 and the reconnection fee is \$50.00. (I)

### Returned Checks

A check returned by the bank will incur a fee of \$35.00. (I)

---

Issued 12/10/2014  
Effective 2/1/2015

Issued by \_\_\_\_\_

## WASTEWATER UTILITY SERVICE

### **Changes in Ownership, Tenancy of Service**

A new application and contract must be made and approved by the Company on any change in ownership of property, or in tenancy, or in the service as described in the application. In the event of failure of a new owner or tenant to make such application, the Company shall have the right to discontinue service until such new application is made and approved.

### **Sewer System Capacity Reservation Fee (T N)**

The owner of each property parcel which is provided a service connection when the sewer system is built, will be required to pay a monthly capacity reservation (formerly sewer access fee of \$10.00. This fee will be due each month on the 15<sup>th</sup>, for owners of record, as of the 1<sup>st</sup> of the month. A non-payment penalty of twelve point five percent (12.5%) of the monthly charge will be due after the due date shown on the bill. As each customer attaches to the service connection and signs up for service, they will pay a pro-rated capacity reservation fee for that month and thereafter the fee will not be charged.

### **Engineering, Materials and Construction Standards**

1. General - This specification covers the type of sewer system required for various design conditions of sewers constructed by developers. The requirements called for are minimum in all cases. Bedding conditions, material specifications, sealing requirements and installation methods are the responsibility of the design engineer and must be approved by the Company Engineer. Design and construction of sewer lines shall meet the requirements of the State of Alabama Department of Environmental Management. Any conflicts between company and state requirements shall be resolved so that the more restrictive shall govern
2. All sewage collection system components are to be watertight. This includes Building Outfall Lines, all tanks, Collector Lines, Service Lines and Main Lines. Collector Lines and Main Lines are to be tested to 100 pounds per square inch of water pressure. Risers and lids are to be watertight.
3. Interceptor Pump and Interceptor Gravity Tanks are to be installed near the customer's building to be served. The tanks are to be set in a level condition and tested for water tightness before backfilling.
4. All pipe is to be PVC. Classes and sizes will be per Engineer's design and in all cases SDR 21 class 2000 will be the minimum allowable.
5. Only wastewater drains are to be connected to the sewer system. No water sources such as roof drains, sump pumps, condensate lines and swimming pools shall be connected to the sewer system.

## WASTEWATER UTILITY SERVICE

### **Special Pretreatment Sewage Requirements**

For all sewage connections the Company reserves the right to require any non-residential user to provide special pre-treatment for any high strength effluent before discharge into its sewage system. The Company may, upon the basis of recognized engineering standards and treatment costs, increase the rate charged to cover the cost of treatment of high strength effluent or industrial waste, and may impose recognized engineering standards as to the maximum size of solids and constituents in such waste discharged into its sewage system.

Additionally, if excessive volumes of sewage are received, the Company may require the Customer to monitor flow volume and increase surge holding capacity at the Customer's expense. All customers will be required to follow the Do's and Don'ts list for an Effluent collection system, supplied to them by the Company. (Attachment No.2). These requirements prohibit the dumping of any toxic chemicals that kill tank bacteria and disposal of an excessive amount of grease, among other things. All requirements (and notification of repair costs associated with system abuse) are established in the Customer's Sewer Subscription Contract with the Company.

### **Damages**

The company shall in no event be responsible for maintaining any building outfall line owned by the customer, nor for damages created by sewage escaping there from, nor for defects in Customer's building lines or fixtures .The customer shall at all times comply with all regulations of the Alabama Department of Public Health and of the Company.

All leaks in any building pipe or fixture on the premises of the Customer shall be immediately repaired by the Customer. On failure to repair any such leak, the service may be discontinued until repairs are made. Any customer found introducing prohibited substances into the wastewater system is liable to pay the full cost of cleanup and the repair of any damage caused.

(N)

Interceptor tanks are the property of the land owner and the rates within this tariff are for the maintenance, repair, and replacement of the components within the tanks, not the tanks themselves. The cost to replace those tanks are the sole cost of the homeowner. In the event the tank and its components are damaged due to abuse by the homeowner, he/she shall be responsible for the cost of the replacement of any component that is deemed to be damaged.

### **Inspection**

All pipes, valves and fixtures shall at all reasonable hours, be subject to inspection by the Company or its duly authorized agent.

## WASTEWATER UTILITY SERVICE

### **In Event of Emergency**

The Company shall not be liable to the Customer for interruption in service, or for damages or inconvenience as a result of any interruption, stoppage, etc., which was beyond the reasonable control of the Company. In case of an emergency, call 877-669-0786.

### **Approved Systems**

The Company will provide service to its approved group of systems. Additions to this group must be approved by the ADPH and PSC.

### **Extension Plan**

The Company may furnish sewer service to property owners whose lands abut the main line of existing sewer systems. The sewer service charges listed in the sewer billing monthly rates do not include costs for constructing extensions to the sewer system. Any sewer system facilities required to service such abutting properties shall be constructed at the cost of those parties desiring same, and these facilities shall become the property of the Company, to be credited to the account for Contributions in Aid of Construction. In addition, treatment system facility costs will be paid by the Customer desiring to connect onto the system. Sewer service to new areas within a service territory will be made available where it is technically feasible and the developer or property owner is willing to bear the expense of designing and building the sewer system.

### **Contributions in Aid of Construction**

Sewer system facilities furnished by developers and property owners to the Company will be recognized as Contributions in Aid of Construction in the amount of the actual cost of construction. Capital contributions from developers will be treated in a like manner.

### **Contracts for Service**

Each customer before installation of service shall be required to execute, on the appropriate forms furnished by the Company, a Sewer Subscription Contract.

### **Customer Billing Forms**

Monthly bills will be sent to customers for payment of a flat monthly fee. For commercial customers where monthly usage may vary, a monthly bill will be sent to the customer and be based on usage.

### **Public Contact**

Charles Hyatt ( President )  
851 Aviation Parkway  
Smyrna, TN 37167  
Phone – 615-220-7200

### **ADPH Regulations**

---

Issued 12/10/2014  
Effective 2/1/2015

Issued by \_\_\_\_\_

WASTEWATER UTILITY SERVICE

The Company, in its operation, shall conform to all the applicable rules and regulations promulgated from time to time by the Alabama Department of Public Health. The ADPH can be reached by phone at 1-334-206-5373.

---

Issued 12/10/2014  
Effective 2/1/2015

Issued by \_\_\_\_\_

WASTEWATER UTILITY SERVICESECTION 3APPROVED SEWER SYSTEMS**Alabama Wastewater Systems, Inc.**

## Approved Rates

*ME000008 Alabama Wastewater Systems, Inc.*

Facility Name	(I) Residential Rate *	(I) Commercial Rate *	Unoccupied	(N) * Rate Rider
Blackjack Lagoon	\$54.53./mo	See Section 5	10/mo	\$1.53
Blackjack Lagoon (Carrington Lakes)* See Tariff	\$54.53/mo	See Section 5	\$10/mo	\$1.53
Haynesville Ridge (aka Woods & Water)	\$54.53/mo	See Section 5	\$10/mo	\$1.53
Pine Forest*	\$54.53/mo	See Section 5	\$10/mo	\$1.53
Seven Pines	\$54.53./mo	See Section 5	\$10/mo	\$1.53

\*Rates are subject to a local license fees

WASTEWATER UTILITY SERVICESECTION 4RESIDENTIAL RATES SHEET

	<u>Total</u>
<b><u>RATE CLASS 1</u></b>	
Standard Treatment, Drip Dispersal .....	<b>\$54.53 (I)</b>
<b><u>RATE CLASS 2</u></b>	
Standard Treatment, Drip Dispersal .....	<b>(D)</b>

**RESIDENTIAL RATE SHEET EXPLANATION**

**SBT.D** : Standard base Treatment and Drip Dispersal Rate **\$54.53 (I)**

FFR.D = **\$54.53** = **RATE CLASS 1**  
 FFR.D.L1 = **(D)** = **RATE CLASS 2**

**RATE RIDER (N)**

**Regulatory Rate Rider charge of \$1.53 / month for a period until the Regulatory reserve has been dissolved.**

**Fees: Non-Payment – 12.5% of total bill amount**

**Disconnection – \$50.00 (I)**

**Reconnection – \$50.00 (I)**

**Returned Check (NSF Fee) – \$35.00 (I)**

**Capacity Reservation Fee – \$10/mo (See Rules and Regulations for Explanation)**

- All rates are subject to Local, State, Federal Taxes and License Fees. (T)**

---

Issued 12/10/2014  
 Effective 2/1/2015

Issued by \_\_\_\_\_

WASTEWATER UTILITY SERVICESECTION 5COMMERCIAL RATES SHEET (D T)

**AVAILABILITY:** Sewer service is available to each commercial installation located within the service area permitted by the Alabama Department of Public Health. Commercial rates will be as follows:

**Individual Usage Volume:** \*Expected usage volume (gpd) for an individual account (annual audits of water usage will be conducted to verify volume and make adjustments if required)

**Total System Capacity Volume:** Design volume for the total system

**O & M Rate:** Graduated O & M rate based on expected usage and scaled according to the Commercial rates.

**Ancillary Costs:** Pass-through charge for requested/required items such as weekly or biweekly landscape maintenance

\*It is critical for the financial viability of the Utility that the Utility be able to monitor expected usage volume versus actual usage. Surcharges will apply when accounts exceed their expected usage volume (gpd). For any month that the water meter reading for an account exceeds the expected usage volume (gpd) the following surcharge will apply:

Usage Volume (In excess of Expected Volume)	Surcharge
1 – 1,000 gallons per day	\$250
1,001 – 2,000 gallons per day	\$350
Greater than 2,001 gallons per day of Expected Volume	\$500 per 1,000 gallons

Issued 12/10/2014  
Effective 2/1/2015

Issued by \_\_\_\_\_



## WASTEWATER UTILITY SERVICE

If the actual usage volume (based on water meter reading) exceeds the expected usage volume for 3 consecutive months the expected usage rate will be adjusted to reflect the actual usage volume. In addition, any required capital improvements to the collection, treatment and disposal system will be charged to the individual account(s) whose excess usage necessitates the improvements.

The following chart presents the graduated scale for commercial volume usage: **(I N)**

<b>Volume</b>	<b>O &amp; M Fee</b>	<b>Rate Rider (N)</b>
0 – 300 gallons per day	\$58.12	\$1.53
301 – 600 gallons per day	\$116.24	\$1.53
601 – 900 gallons per day	\$174.36	\$1.53
901 – 1,200 gallons per day	\$232.48	\$1.53
1,201 – 1,500 gallons per day	\$290.60	\$1.53
1,501 – 1,800 gallons per day	\$348.72	\$1.53
1,801 – 2,100 gallons per day	\$406.84	\$1.53
2,101 – 2,400 gallons per day	\$464.96	\$1.53
2,401 > gallons per day	\$0.1937 / gallon	\$1.53

### **RATE RIDER (N)**

**Regulatory Rate Rider charge of \$1.53 / month for a period until the Regulatory reserve has been dissolved.**

- All rates are subject to Local, State, Federal Taxes and License Fees. (T)**

**Fees:** Nonpayment – 12.5%      Reconnection - \$50.00 **(I)**  
 Disconnection - \$50.00 **(I)**      Returned Check - \$35.00 **(I)**

Issued      12/10/2014  
 Effective    2/1/2015

Issued by \_\_\_\_\_

WASTEWATER UTILITY SERVICE

---

---

Issued 12/10/2014  
Effective 2/1/2015

Issued by \_\_\_\_\_

WASTEWATER UTILITY SERVICE

---

Issued 12/10/2014  
Effective 2/1/2015

Issued by \_\_\_\_\_